

Free Training

National White Collar Crime Center

— FC —

1-day classroom course

Tuesday, April 21, 2020
8:00 AM – 4:00 PM

Hosted By:
Nevada Department of
Public Safety - Division
of Parole and Probation

Location:
215 E. Bonanza Road
Las Vegas, NV 89101

Questions?
877-628-7674



Version 4.0.1 updated December 2018

FC130 TIF

Financial Crime: Targeting Investment Fraud

Morning refreshments and lunch provided.

This course provides investigators and prosecutors with the knowledge and tools they need to respond to the growing problem of investment fraud. Topics include what constitutes a security, using the Howey Test to determine if a particular offering is a security, identifying investment fraud schemes, and investigative strategies for working with victims and perpetrators. The course also covers fraud prevention strategies, and students are provided with additional resources for both prevention and investigation.

This course is presented in partnership with the FINRA Investor Education Foundation.

Securities basics What is a security? The Howey Test. Registration of securities.

Securities fraud State-specific content. What constitutes securities fraud? Statutes. Penalties.

Investigation Red flags of fraud. Investigative techniques. Following the money. Case studies.

Prevention and resources Typical victims. Risk factors. The FINRA Foundation. Local experts.



Register at
www.nw3c.org

